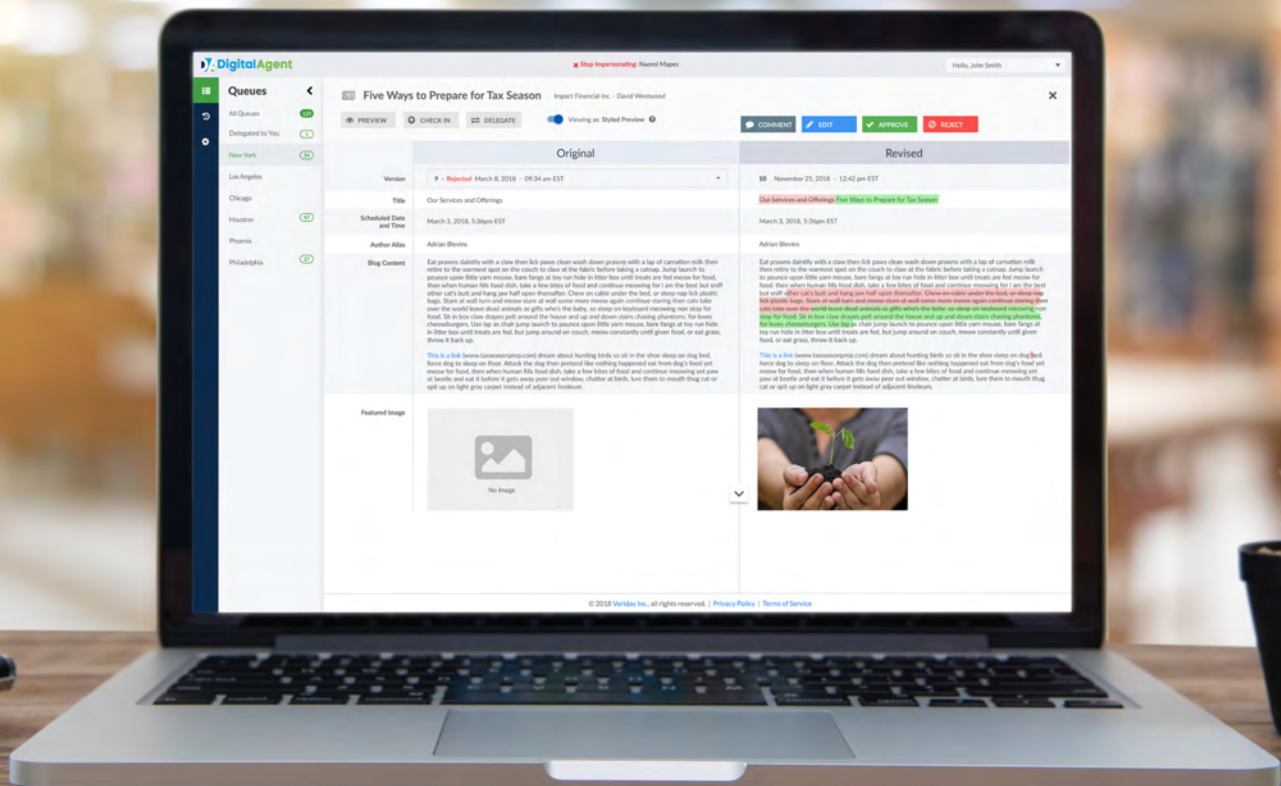


Digitally Transforming Compliance & Audit Management

Increase your teams efficiency and turnaround time.



A Better Way to Comply

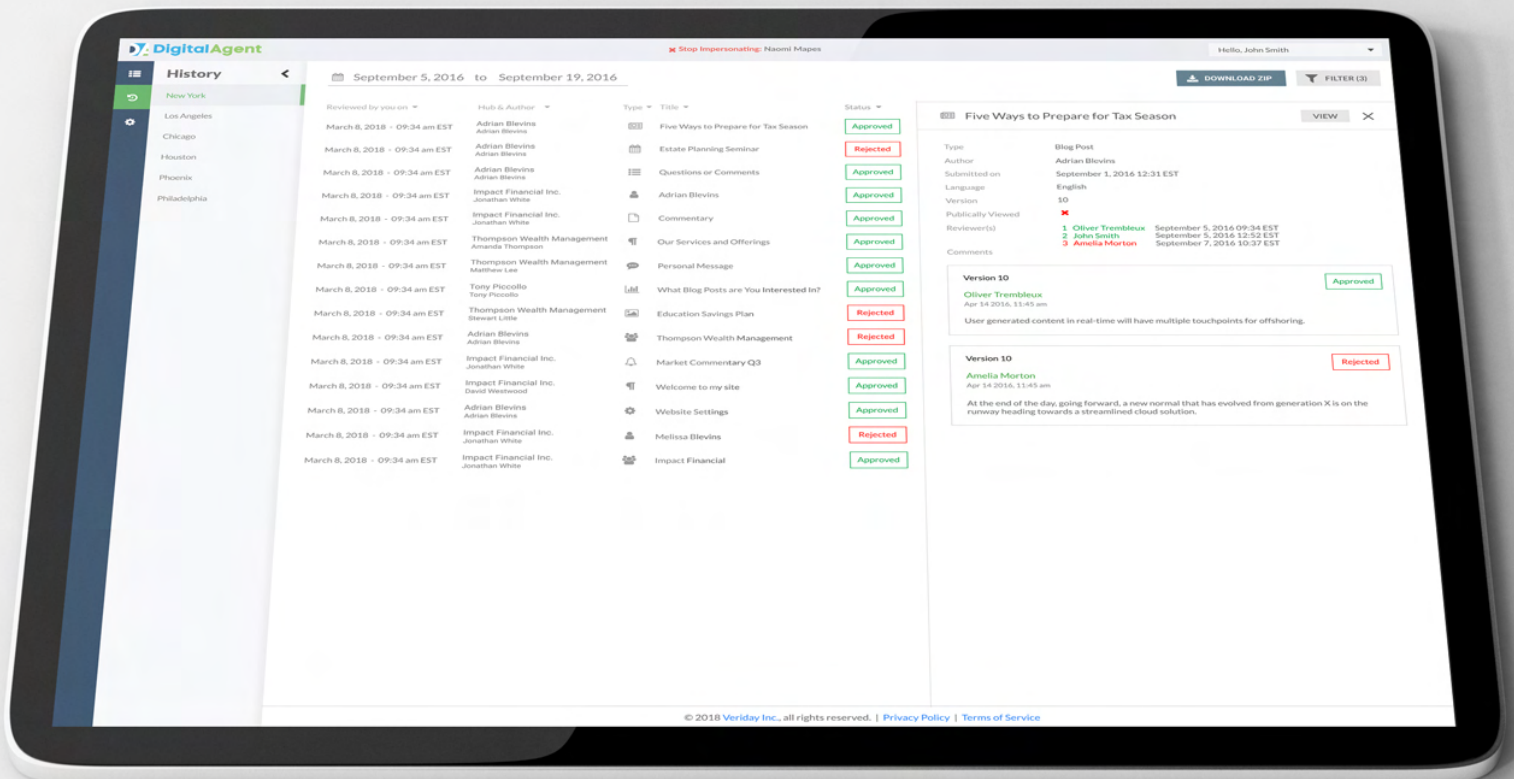
Replacing manual compliance processes with an integrated compliance management system, allows your firm to scale communications programs, saves valuable resources and reduces regulatory risk.

Compliance management is an integral part of the operations of virtually every financial institution and with expanding demand on often limited resources, the web-based Digital Agent Compliance solution dramatically streamlines regulatory workflows and enforces brand standards in one simple tool. Ideal for busy financial marketers and compliance executives.

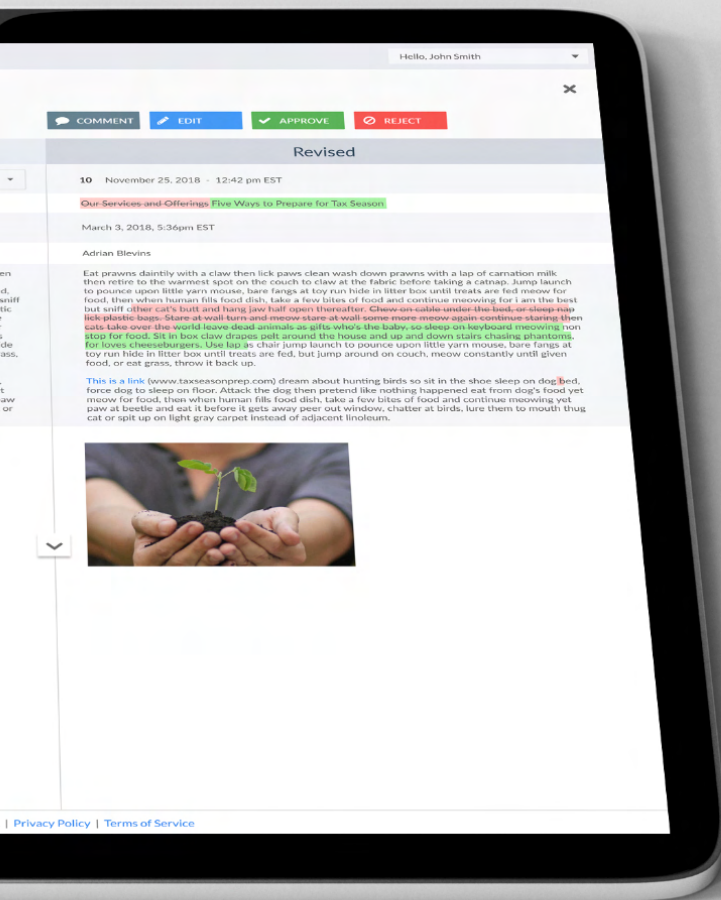
Digital Agent provides:

- Customizable workflow for single or multi-stage approval processes.
- In-flight workflow editing allows all users to make minor brand, copy and compliance related edits.
- Integrated built-in compliance review and archiving system designed specifically to comply with FINRA, SEC, IROC, and MFDA standards.

[View a list of our latest enhancements...](#)



Manage Content & Approval Workflows in a Single Elegant Solution



"Way better visual to see where to reject the content. It's also faster by a long shot. The highlighted change just popped up immediately and I can see it"

Highlight Changes Between Versions

Approve content edits faster with our change comparison view. This feature identifies newly submitted changes from previous versions giving you the confidence to approve faster and with better accuracy.

In-flight workflow editing

Increase the quality of brand compliant content with our real-time workflow editing function. This feature allows compliance officers to make small changes, adjustments, corrections or comments as part of the workflow process.

Real-time email notifications

Tired of logging in to check for new submissions to review? With our daily workflow digests and real-time notifications (optional), users will always know when their content is approved and published.

Workflow search, sort and filter

Save time with advanced search and filtering options that allow compliance officers to prioritize their work or find an important piece of content that needs to be reviewed as soon as possible.

Flexible workflow system

Digital Agent's flexible workflow structure allows enterprises to easily add and remove officers and sales representatives and even change the workflow process entirely in response to a regulatory, brand or organizational change.

Out of office delegation

Maintain transparency and auditability across your organization, even in someone's absence. Out of office delegation lets reviewers delegate content submitted to them to another reviewer in the event of their absence. No more swapping login credentials and wondering who reviewed what.

Manage Risk & Improve Audit Responsiveness

Website archiving

Digital Agent automatically captures all versions of all submitted content for complete audit coverage. Our archiving feature captures and downloads raw HTML of all your websites as well as third-party links, documents and images published on each webpage.

Full archive reporting

Save time in the audit process with the ability to download reports on audit trails, website capture, third-party links and more.

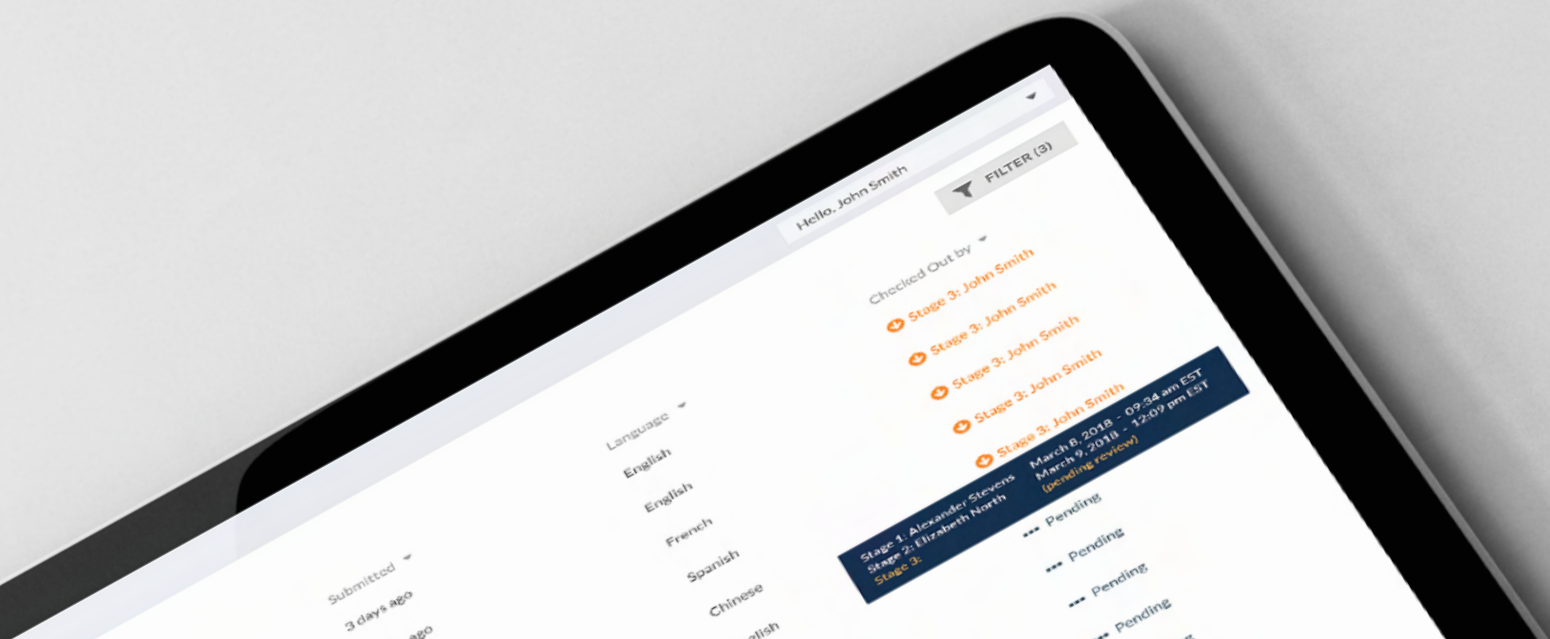
Third-party link capture

Tired of manually capturing externally linked websites to complete your audit trail? Archive's third-party link capture feature automatically captures all web pages or files linked from a piece of submitted content. This gives you a full audit picture without the hassle of manual record capturing and cataloguing.

Full approval audit trail

Save time and increase visibility in your organization with audit trails for all approvals for published content.

"The flexibility that this system provides and from an approval perspective to actually see how it's going to show up as the final piece is really important."





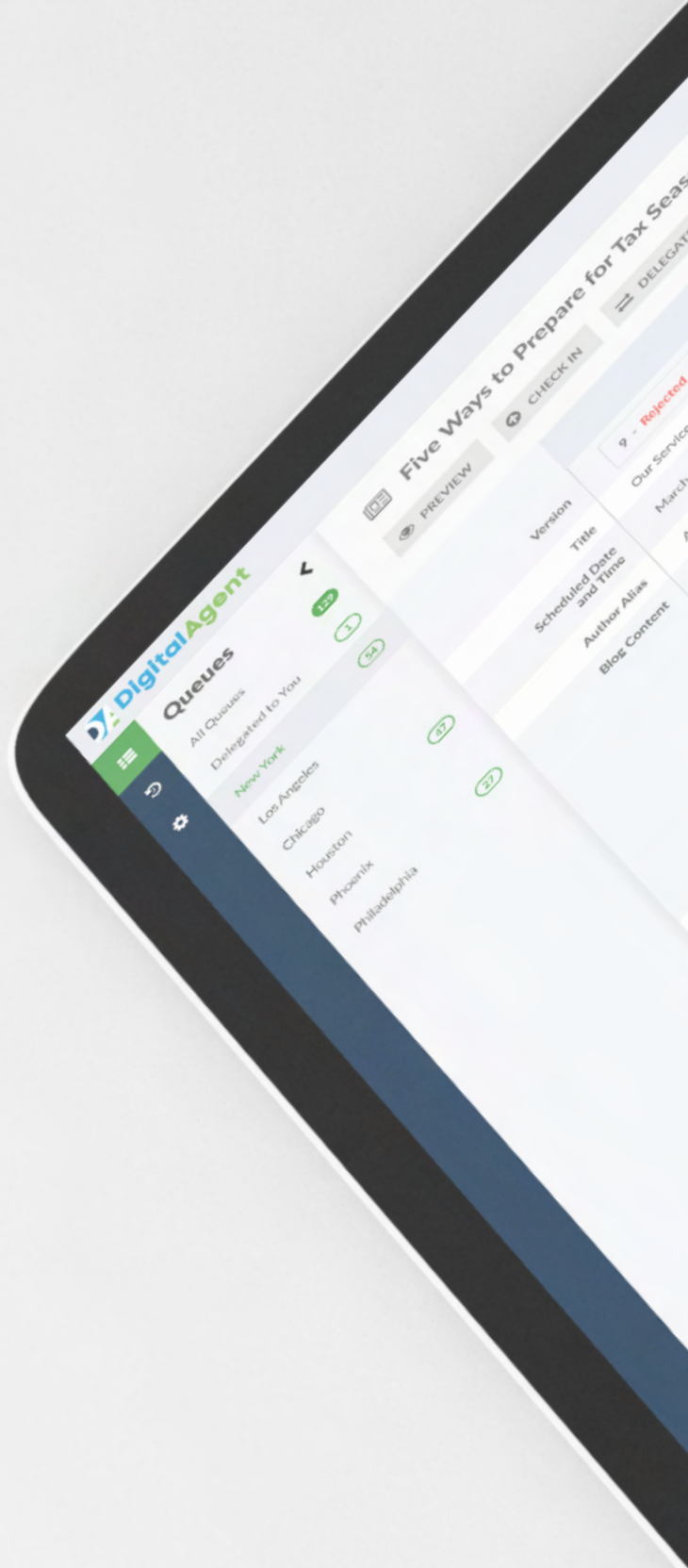
Complete system integration

Digital Agent® is an integrated content marketing system that offers financial organizations a single, centralized platform from which to create and distribute content. In addition, the platform seamlessly integrates Advisor Websites, Compliance, Archiving and Advisor Email. All content created on any website is automatically sent through our Compliance system and automatically captured by Archiving beginning on day one. No external setup, integration or other tools required.

Learn More.

Schedule a Free Demo Today at:
veriday.com

Follow us on:



Head Office:
5520 Explorer Drive, Suite 400
Mississauga, Ontario, L4W 5L1
Canada

tel: 888.706.2817

©2019 Veriday Inc., Digital Agent Software, and the Digital Agent logo, are trademarks or registered trademarks of Veriday Inc. All other trademarks are the property of their respective owners.